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04/16/2008

HOEKENDIJK & LYNCH, LLP
P.O. BOX 4787
BURLINGAME, CA 94011-4787

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<u>Erica L. Canonizado</u>	(Depositor's name)
<u>[Signature]</u>	(Signature)
<u>July 11, 2008</u>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/622,129	07/16/2003	Samuel Lichtenstein	015-001	1870

TITLE OF INVENTION: METHODS AND DEVICES FOR ALTERING BLOOD FLOW THROUGH THE LEFT VENTRICLE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	07/16/2008
EXAMINER		ART UNIT	CLASS-SUBCLASS			
HOPKINS, CHRISTINE D		3735	600-037000			

07/15/2008 EAREGAY2 00000025 501247 10622129

01 FC:2501
02 FC:1504
03 FC:2501

720.00 DA
300.00 DA
3.00 DA

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Jens E. Hoekendijk

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

KARDIUM, INC.

Canada

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

[Signature]Date July 11, 2008

Typed or printed name

Jens E. HoekendijkRegistration No. 37,149

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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[Signature] (Signature)
July 11, 2008 (Date)

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HOPKINS, CHRISTINE D	3735	600-037000

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Canada

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Date July 11, 2008

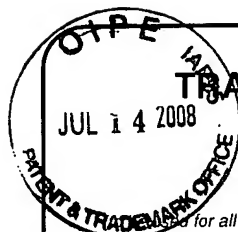
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Jens E. Hoekendijk

Registration No. 37,149

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TRANSMITTAL FORM

Use for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number

10/622,129

Filing Date

July 16, 2003

First Named Inventor

Samuel LICHTENSTEIN

Art Unit

3735

Examiner Name

Christine D. HOPKINS

Attorney Docket Number

015-001

ENCLOSURES (Check all that apply)

- | | | |
|--|--|--|
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<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
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Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signature

Printed name

Jens E. Hoekendijk

Date

July 11, 2008

Reg. No.

37,149

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Typed or printed name

Erica L. Canonizado

Date

July 11, 2008



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Samuel LICHTENSTEIN
Serial No. : 10/622,129
Filed : July 16, 2003

Art Unit : 3735
Examiner : Christine D. Hopkins
Confirmation No.: 1870
Notice of Allowance Date: July 16, 2008

Title : METHODS AND DEVICES FOR ALTERING BLOOD FLOW THROUGH THE
LEFT VENTRICLE

MAIL STOP ISSUE FEE

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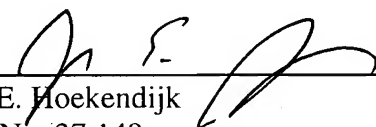
In response to the Notice of Allowance mailed **April 16, 2008**, enclosed is a completed issue fee transmittal form PTOL-85b.

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Respectfully submitted,

Date: July 11, 2008

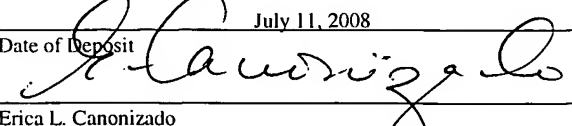
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P.O. Box 4787
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Date of Deposit July 11, 2008
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